



hugonote kollege

Waar Christenwees grondvat is opleiding

School of Social Innovation

Corporate Governance and Ethics for Social Business executive short course



Good Corporate Governance and Ethics for Social Business establishes a clear skillset for directors and managers, allowing them to reconcile external and internal controls, risk management, competitive behaviour and adherence to corporate law and ethics. There is also a strong focus on the King Codes for good corporate governance in South Africa.

The following topics will be covered by **Prof. Erwin Schwella**, Dean of the School of Social Innovation at Hugonote Kollege, **Susan Kruger**, and **Ilse van der Merwe** lecturers at the School of Social Innovation at Hugonote Kollege:

Topic 1: Principles of good corporate governance

- Accountability and transparency
- Other key principles
- Measuring good governance

Topic 2: Ethical leadership

- Ethics and governance
- Ethical leadership – concepts and leadership styles
- Discussion of case studies

Topic 3: Risk management and combined assurance

- COSO framework
- Risk assessment and managing risks
- 5 lines of assurance

Topic 4: King IV and the previous King Codes on good corporate governance

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- Promoting ethical leadership and ethical culture
- Effective leadership and sustainability
- Integrity and combating corruption

Topic 5: The legal framework for good governance in South Africa

- The Constitution and good governance
- Other relevant legislation

Accountability and compliance

After completion of the module, the student will be able to:

1. Distinguish the principles of good corporate governance and ethics for social innovation and in social businesses
2. Assess the importance of external and internal controls, risk management and compliance with corporate law and ethics; and justify and apply the respective King codes for good corporate governance in South Africa.
3. Identify and critically construct a personal and professional own learning journey.

Admission Requirements

Requirement for admission is preferably an undergraduate degree or equivalent qualification. Applicants with a grade 12 school leaving certificate are also eligible for admission to executive short courses with appropriate leadership and management experience.

Distance Learning Delivery

In the distance learning delivery approach, the course is presented via the My Notes Student Portal of Hugenote Kollege in a programme that will require guided self-study from participants. The time required for this self-study delivery will be ± 40 hours and requires an in-depth engagement with all of the material supplied. The self-study on My Notes will be linked to three weekly four-hour Zoom-based teaching session. Attendance is compulsory.

Zoom sessions	Timeslot	Topics
1 st Week 1 st Session	14:30 – 18:30	Topic 1 and 2
2 nd Week 2 nd Session	14:30 – 18:30	Topic 3
3 rd Week 3 rd Session	14:30 – 18:30	Topic 4

The prescribed compulsory assessments will require self-study and uploading of the assignments onto My Notes to be graded. The duration of the course adds up to ± 35 days and is delivered in the manner set out above and below.

Assessment methods

- Individual assignment (40%)
 - Participants have to write a solution to a problem in essay format of ± 10 pages. The topic of the problem is based on the content of the executive short course. The hand-in-date

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is at the end of the duration of the course which is normally three weeks after the 3rd Zoom session.

- One Individual open-book end-of-module examination (40%)
 - The end-of-module examination is scheduled a day during the week after the 3rd Zoom session. The open-book-examination day is scheduled on My Notes and is available from 08:01 until 23:59. The participants need to answer the open-ended-questions with all the information available to them. The purpose of the examination is to test insight and not rote learning.
- Corporate governance and ethics individual leadership journey exercise (15%)
 - The participants have to start a personal journey exercise after the first Zoom session. This has to be loaded onto My Notes during the week after the 3rd Zoom session. The required documentation for this assessment is in the form of a journal or essay. What needs to be reflected upon are insights and applications of lessons learnt throughout the executive course.
- Class group presentation (5%).
 - The participants are given a challenge during the 1st Zoom session which they have to present during the 3rd Zoom session. The challenge is based on the content of the information covered during the 1st Zoom session. The purpose is for the participants to learn from each other.

References and study material

- COSO. 2004. *COSO Enterprise Risk Management – Integrated Framework* www.coso.org (accessed 28 April 2020).
- Deloitte. 2015. Enterprise risk management – A risk intelligent approach <https://www2.deloitte.com/content/dam/Deloitte/uk/Documents/audit/deloitte-uk-erm-a-risk-intelligent-approach.pdf> (accessed 28 April 2020).
- Deloitte. 2017. King IV - Ethical Leadership https://www2.deloitte.com/za/en/pages/africa-centre-for-corporate-governance/articles/kingiv_ethical_leadership.html (accessed 28 April 2020).
- Institute of Directors. 2016. *The King IV Report on Corporate Governance for South Africa* <https://www.adams.africa/wp-content/uploads/2016/11/King-IV-Report.pdf> (accessed 28 April 2020).
- Institute of Directors. 2009. *King III Report on Corporate Governance* https://cdn.ymaws.com/www.iodsa.co.za/resource/resmgr/king_iii/King_Report_on_Governance_fo.pdf (accessed 28 April 2020).
- South Africa. 1996. *Constitution of the Republic of South Africa*. Pretoria: Government Printer.
- Schwella, E. (ed). 2015. *South African Governance*, Cape Town: Oxford University Press.
- United Nations. nd. *What is good governance?* www.unescap.org/sites/default/files/good-governance.pdf (accessed 28 April 2020).

Various additional academic sources and resources will be provided and updated continuously during and after the class sessions